

12/06/01

J1130 U.S. PTO

Please type a plus sign (+) inside this box → ☐Approved for use through 10/31/2002. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

7030.02.03

First Inventor

Nicholas A. Verini

Title

NON-LETHAL HANDGUN

Express Mail Label No.

EI 746240562 US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 202311. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.3. ☒ Specification [Total Pages ]  
(preferred arrangement set forth below)  
- Descriptive title of the invention  
- Cross Reference to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to sequence listing, a table,  
or a computer program listing appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets ]5. Oath or Declaration [Total Pages ]

- a.
- ☒
- Newly executed (original or copy)
- 
- b.
- ☐
- Copy from a prior application (37 CFR 1.63(d))
- 
- (for continuation/divisional with Box 18 completed)

i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).6. ☐ Application Data Sheet. See 37 CFR 1.767. ☐ CD-ROM or CD-R in duplicate, large table or Computer  
Program (Appendix)8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

- a.
- ☐
- Computer Readable Form (CRF)
- 
- b. Specification Sequence Listing on:
- 
- i.
- ☐
- CD-ROM or CD-R (2 copies); or
- 
- ii.
- ☐
- paper
- 
- c.
- ☐
- Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

- 9.
- ☐
- Assignment Papers (cover sheet & document(s))
- 
- 10.
- ☐
- 37 CFR 3.73(b) Statement
- ☒
- Power of Attorney
- 
- (when there is an assignee)
- 
- 11.
- ☐
- English Translation Document (if applicable)
- 
- 12.
- ☐
- Information Disclosure
- ☐
- Copies of IDS
- 
- Statement (IDS)/PTO-1449 Citations
- 
- 13.
- ☐
- Preliminary Amendment
- 
- 14.
- ☒
- Return Receipt Postcard (MPEP 503)
- 
- (Should be specifically itemized)
- 
- 15.
- ☐
- Certified Copy of Priority Document(s)
- 
- (if foreign priority is claimed)
- 
- 16.
- ☐
- Request and Certification under 35 U.S.C. 122
- 
- (b)(2)(B)(i). Applicant must attach form PTO/SB/35
- 
- or its equivalent.
- 
- 17.
- ☐
- Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,  
or in an Application Data Sheet under 37 CFR 1.76:☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_ / \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_

Group / Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied  
under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by  
reference. The incorporation can only be relied upon when a companion has been inadvertently omitted from the submitted application parts.**19. CORRESPONDENCE ADDRESS**☐ Customer Number or Bar Code Labelor ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	John L. Isaac, Esq.				
	Isaac & Associates				
Address	143 Union Blvd., Suite 900				
City	Lakewood	State	Colorado	Zip Code	80228-1829
Country	USA	Telephone	720-962-9300	Fax	720-962-9361

Name (Print/Type) John L. Isaac

Registration No. (Attorney/Agent)

26,282

Signature

Date

December 5, 2001

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

## Complete if Known

Application Number  
Filing Date December 4, 2001  
First Named Inventor Nicholas A. Verini  
Examiner Name  
Group Art Unit  
Attorney Docket No. 7030.02.03

TOTAL AMOUNT OF PAYMENT \$556.00

### METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

Deposit Account Name

- ☐ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

- ☐ Applicant claims small entity status. See 37 CFR § 1.27

2. ☐ Payment Enclosed:

- ☒ Check ☐ Credit card ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity Code	Small Entity Code	Fee (\$)	Fee Description	Fee Paid
101	201	370	Utility filing fee	370.00
106	206	165	Design filing fee	
107	207	255	Plant filing fee	
108	208	370	Reissue filing fee	
114	214	80	Provisional filing fee	
SUBTOTAL (1)				\$370.00

#### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
36	-20** = 16	X 9.00 =	144.00
Independent Claims	4 - 3** = 1	X 42.00 =	42.00
Multiple Dependent			

Large Entity Code	Small Entity Code	Fee (\$)	Fee Description	Fee Paid
103	203	9	Claims in excess of 20	
102	202	42	Independent claims in excess of 3	
104	204	140	Multiple dependent claim, if not paid	
109	209	42	** Reissue independent claims over original patent	
110	210	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)				\$186.00

\*\*or number previously paid, if greater; For Reissues, see above

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity Code	Small Entity Code	Fee (\$)	Fee Description	Fee Paid
105	130	65	Surcharge - late filing fee or oath	
127	50	25	Surcharge - late provisional filing fee or cover sheet	
139	130	130	Non - English specification	
147	2,520	2,520	For filing a request for ex parte reexamination	
112	920*	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55 Extension for reply within first month	
116	400	216	200 Extension for reply within second month	
117	920	217	460 Extension for reply within third month	
118	1,440	218	720 Extension for reply within fourth month	
128	1,960	228	980 Extension for reply within fifth month	
119	320	219	160 Notice of Appeal	
120	320	220	160 Filing a brief in support of an appeal	
121	280	221	140 Request for oral hearing	
138	1,510	138	1,510 Petition to institute a public use proceeding	
140	110	240	55 Petition to revive - unavoidable	
141	1,280	241	640 Petition to revive - unintentional	
142	1,280	242	640 Utility issue fee (or reissue)	
143	460	243	230 Design issue fee	
144	620	244	310 Plant issue fee	
122	130	122	130 Petitions to the Commissioner	
123	50	123	50 Processing fee under 37 CFR § 1.17(q)	
126	180	126	180 Submission of Information Disclosure Statement	
581	40	581	40 Recording each patent assignment per property (times number of properties)	
146	740	246	370 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370 For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370 Request for Continued Examination (RCE)	
169	900	169	900 Request for expedited examination of a design application	
Other fee (specify)				
SUBTOTAL (3)				\$0.00

\*Reduced by Basic Filing Fee Paid

### SUBMITTED BY

Name (Print/Type)	Registration No. (Attorney/Agent)	Telephone
John L. Isaac	26,282	720-962-9300
Signature	Date	December 5, 2001

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**Applicant(s): **Nicholas A. Verini**

Docket No.

**7030.02.03**

Serial No.

Filing Date

**December 5, 2001**

Examiner

Group Art Unit

Invention: **NON-LETHAL HANDGUN**

I hereby certify that the following correspondence:

**New patent application along with a Declaration & Power of Attorney, filing fee and associated filing documents***(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under  
37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231

5 DECEMBER 2001  
(Date)

**John L. Isaac***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)***EL746240562US**